

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		1546.1004 09/913956
INTERNATIONAL APPLICATION NO. PCT/KR99/00796	INTERNATIONAL FILING DATE December 21, 1999	PRIORITY DATE CLAIMED December 21, 1999
TITLE OF INVENTION ARGININE-RICH ANTI-VASCULAR ENDOTHELIAL GROWTH FACTOR PEPTIDES THAT INHIBIT GROWTH AND METASTASIS OF HUMAN TUMOR CELLS BY BLOCKING ANGIOGENESIS		
APPLICANT(S) FOR DO/EO/US Korea Greencross Corp. and POSTECH FOUNDATION		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)). 3. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 4. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 5. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 7. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. <input checked="" type="checkbox"/> An oath or declaration of the inventor (35 U.S.C. 371(c)(4)). 9. <input type="checkbox"/> A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 10-15 below concern document(s) or information included:		
<ol style="list-style-type: none"> 10. <input type="checkbox"/> An Information Disclosure Statement Under 37 CFR 1.97 and 1.98. 11. <input checked="" type="checkbox"/> An assignment document for recording. <p>Please mail the recorded assignment document to:</p> <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> the person whose signature, name & address appears at the bottom of this document. b. <input type="checkbox"/> the following: 12. <input type="checkbox"/> A preliminary amendment. 13. <input type="checkbox"/> A substitute specification 14. <input type="checkbox"/> A change of power of attorney and/or address letter. 15. <input checked="" type="checkbox"/> Other items or information: <p style="margin-left: 40px;">Sequence Listing and a copy of PCT request.</p> 		

09/913956

JC05 Rec'd PCT/PTO 2 1 AUG 2001

☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS		6 -20=	0	x \$ 18.00	0.00
INDEPENDENT CLAIMS		3 -3=	0	x \$ 80.00	0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+\$270.00	0.00
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4): <input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,000 <input type="checkbox"/> International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..\$ 860 <input type="checkbox"/> International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$ 710 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provision of PCT Article 33(1)-(4).....\$ 690 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4)\$ 100					\$1,000.00
Surcharge of \$130 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)).					0.00
TOTAL OF ABOVE CALCULATIONS					1,000.00
Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)					
SUBTOTAL					1,000.00
Processing fee of \$130 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).					
TOTAL NATIONAL FEE					1,000.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)).					+ \$40.00
TOTAL FEES ENCLOSED					1,040.00

- a. ☒ A check in the amount of \$ 1,040.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 19-3935 in the Amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

8/21/01

DATE

 Michael D. Stein
 REGISTRATION NO. 37,240